



Company Information

November 2019



The Company

OPTIMA ASSET .LLC ("the Company") is authorised and regulated by the United states Securities and Exchange Commission ("SEC") as a United States Investment Firm ("USIF") to offer the services and activities enlisted herein in this document, under the Investment Services and Activities and Regulated Markets Law of 2015 T. 97(I)/2015 ("the Law").

The Company's license number is CRD# 299826

The Company is registered in the United States under the Companies Law, with registration number: SEC# 801-115262

The Company's Registered Office is at 10 EAST 53RD STREET, NEW YORK, NY 10022.

Contact Details of the Company

Website: <https://optimaasset.co/>

Email: support@optimaasset.co

Postal Address: 10 EAST 53RD STREET, NEW YORK, NY 10022

Client Support Email: support@optimaasset.co

Investment Services

Under its CIF License the Company may offer the following Investment Services:

- Stock Trading Services.
- Indices Trading Services
- Commodities Trading Services
- Cryptocurrency Trading Services.
- Forex Trading Services.
- Bonds Trading Services
- General Financial Services.
- Leveraging services.
- Educational Resources and Training.
- Market Research And Analysis.
- Financial Advisory

Ancillary Services

Under its USIF License the Company may offer the following Ancillary Services:

- (1) Safekeeping and administration of Financial Instruments for the account of Clients, including custodianship and related services such as cash/collateral management and excluding maintaining securities accounts at the top tier level ("central maintenance service"), as referred to in point 7 of Section B of the (US) No 8693/2014.
- (2) Granting credits or loans to an investor to allow him to carry out a transaction in one or more Financial Instruments, where the Firm granting the credit or loan is involved in the transaction.
- (3) Foreign exchange services where these are connected to the provision of investment services.

Financial Instruments

Under its USIF license the Company may offer the above investment services in relation to certain Financial Instruments, which have the meaning given to it in paragraph 8 of the Law:

- (1) Transferable Securities.
- (2) Money-market instruments.
- (3) Units in collective investment undertakings.
- (4) Options, futures, swaps, forward rate agreements and any other derivative contracts relating to securities, currencies, Cryptocurrencies, interest rates or yields, emission allowances or other derivatives instruments, financial indices or financial measures which may be settled physically or in cash.
- (5) Options, futures, swaps, forward rate agreements and any other derivative contracts relating to commodities that must be settled in cash or may be settled in cash at the option of one of the parties other than by reason of default or other termination event.
- (6) Options, futures, swaps, and any other derivative contract relating to commodities that can be physically settled provided that they are traded on a regulated market an MTF, or an OTF, except for wholesale energy products traded on an OTF that must be physically settled.
- (7) Options, futures, swaps, forwards and any other derivative contracts relating to commodities, that can be physically settled not otherwise mentioned in the paragraph above and not being for commercial purposes, which have the characteristics of other derivative financial instruments, having regard to whether.

III (8) Derivative instruments for the transfers.

III (9) Financial contracts for differences.

III(10) Options, futures, swaps, forward rate agreements and any other derivative contracts relating to climatic variables, freight rates, emission allowances or inflation rates or other official economic statistics that must be settled in cash or may be settled in cash at the option of one of the parties (otherwise than by reason of a default or other termination event), as well as any other derivative contract relating to assets, rights, obligations, indices and measures not otherwise mentioned in this Part, which have the characteristics of other derivative financial instruments, having regard to whether, inter alia, they are traded on a regulated market.